



AUDIT COMMITTEE

Wednesday, 12th March, 2014

7.00 pm

Town Hall, Watford

Publication date: 4 March 2014

CONTACT

If you require further information or you would like a copy of this agenda in another format, e.g. large print, please contact Sandra Hancock in Democracy and Governance on 01923 278377 or by email to legalanddemocratic@watford.gov.uk .

Welcome to this meeting. We hope you find these notes useful.

ACCESS

Access to the Town Hall after 5.15 pm is via the entrance to the Customer Service Centre from the visitors' car park.

Visitors may park in the staff car park after 4.00 p.m. and before 7.00 a.m. This is a Pay and Display car park; the current charge is £1.50 per visit.

The Committee Rooms are on the first floor of the Town Hall and a lift is available. Induction loops are available in the Committee Rooms and the Council Chamber.

FIRE/EMERGENCY INSTRUCTIONS

In the event of a fire alarm sounding, vacate the building immediately following the instructions given by the Democratic Services Officer.

- Do not use the lifts
- Do not stop to collect personal belongings
- Go to the assembly point at the Pond and wait for further instructions
- Do not re-enter the building until authorised to do so.

MOBILE PHONES

Please ensure that mobile phones are switched off before the start of the meeting.

MINUTES

Copies of the minutes of this meeting are usually available seven working days following the meeting and can be found on the Council's website www.watford.gov.uk/meetings

RECORDING OF MEETINGS

An audio recording may be taken at this meeting for administrative purposes only.

COMMITTEE MEMBERSHIP

Councillor I Brown (Chair)
Councillor P Taylor (Vice-Chair)
Councillors I Brandon, A Khan and T Williams

AGENDA

PART A - OPEN TO THE PUBLIC

1. TRAINING: ANTI-FRAUD AND CORRUPTION

2. APOLOGIES FOR ABSENCE/COMMITTEE MEMBERSHIP

3. DISCLOSURE OF INTERESTS (IF ANY)

4. MINUTES

The minutes of the meeting held on 8 January 2014 to be submitted and signed.
(All minutes are available on the Council's website.)

5. RIPA (Pages 1 - 2)

This report advises members on the use of the Regulation of Investigatory Powers Act 2000 (RIPA) during 2013.

6. AUDIT COMMITTEE UPDATE (Pages 3 - 22)

This report allows the Committee to ask questions of the external auditor concerning emerging national issues and challenges for the Council.

7. EXTERNAL AUDIT RECOMMENDATIONS (Pages 23 - 30)

This report gives details of the progress made in implementing the recommendations of the external auditor.

8. FRAUD BRIEFING 2013 (Pages 31 - 54)

This report gives details of the Audit Commission's *Protecting the Public Purse 2013* and provides comparative information for this Council.

9. INTERNAL AUDIT PROGRESS REPORT (Pages 55 - 116)

This report gives details of the progress made in implementing the recommendations of the internal auditor.

10. INTERNAL AUDIT PLANS 2014/15 (Pages 117 - 146)

This report asks the Committee to approve the draft audit plans for Watford Borough Council and Watford and Three Rivers Shared Services.

11. ANNUAL GOVERNANCE STATEMENT - ACTION PLAN UPDATE (Pages 147 - 152)

This report gives details of the progress in implementing the actions required resulting from the Annual Governance Statement.

12. TREASURY MANAGEMENT UPDATE (Pages 153 - 166)

This report presents the Annual Treasury Management Report and Prudential Indicators for 2012/13 and a mid year review of the Treasury Management function 2013/14.